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COMPLIANCE NOW

E-News from State and Federal Communications, Inc.

June 2007

Sign Up for News You Can Use

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Do you want to know a very valuable service from State and Federal Communications that is provided FREE to every Executive Source Guides subscriber and/or ALERTS client?

It is our acclaimed electronic newsletter called "News You Can Use." There is nothing else like this available today, with dedicated attention provided to national news on ethics, lobbying, and campaign finance. James Sedor, State and Federal Communications Senior Researcher, has perfected the art of summarizing compliance-related articles printed in newspapers from across the country. He has served as the editor for the past seven years! These condensed briefs are organized by national, federal, or state-related regions, so it is easy to locate what is happening in a specific area and click directly on those summaries. The e-newsletter is very timely, being sent each Friday to our list of opt-in subscribers. The e-newsletter is also posted to the State

and Federal Communications website at www.stateandfed.com

If you are a current subscriber to the Executive Source on-line guides, or an ALERTS client, you may already be receiving News You Can Use, but you can also recommend colleagues at your organization receive it too.

Just pass the word and have them send an email to marketing@stateandfed.com and be sure to indicate their name, email address, and the name of the company that is a client of State and Federal Communications. They too will receive the newsletter directly as an email each week.

As an added bonus, ALERTS client are provided with specific additional articles related to their companies and/or industry segment such as the pharmaceutical industry.

We hope you enjoy News You Can Use and find this useful in staying current with your compliance work. As always, your comments are appreciated if there is any

way we can further improve this service for you let us know.

Elizabeth Z. Bartz
President and CEO
State and Federal Communications, Inc.



Elizabeth Bartz and Jim Sedor discuss another compliance possible story for the latest 'News You Can Use'.

City of Frankfort Kentucky Announces Lobbying License Fee

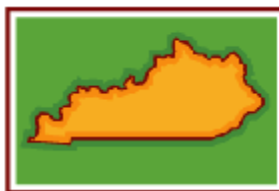


The City of Frankfort Kentucky announced plans to enforce occupational and net profit license fees against registered Kentucky lobbyists. Many legislative lobbyists received

letters regarding assessment of an occupational license fee. Lobbyist employers are required to obtain a city business license and are subject to a net profit fee. The occupational and net profit license fees amount to 1.75% of earnings or profits generated within the city limits. The fees are not new; however, enforcement against lobbyists is a recent development. Fees are retroactive and

will be assessed against all lobbyists and employers registered as of 2002. Lobbyists reporting no activity or compensation during their period of registration may send a letter to the Department of Revenue asking for an exemption from the assessment.

The original filing deadline of July 1, 2007 has been extended until July 31, 2007. A lobbyist work group is meeting with city officials the first week of July to discuss the fees. Based on the complexity of this issue, State and Federal Communications strongly encourages those registered as lobbyists in Kentucky to contact their tax or legal departments.



See Us in Person

Plan to say hello at future professional development events where State and Federal Communications will be attending and/or speaking regarding compliance issues.

ALEC Annual Meeting

BOOTH 610

July 26-29, 2007
Philadelphia, PA
www.alec.org

NCSL Annual Meeting

BOOTH 649

August 5-9, 2007
Boston, Massachusetts
www.ncsl.org

Interns Gain Valuable Experience

According to Jeff Roberts, CPA, Comptroller for State and Federal Communications, the company has provided summer internships for a number of years to college students in order that they gain real world experience prior to setting out on their careers.

This summer's intern is Leah Hadgis, an International Business major. She is a sophomore at The University of Akron (located just minutes from our offices). At State and Federal Communications, she is supporting Jim Sedor in researching, writing, and editing articles of interest for 'News You Can Use.' Roberts states interns such as Leah also provide a great benefit to State and Federal Communications. "We get to hear a fresh perspective and new ideas," he explains, "and we are able to tackle additional work, increases our productivity. In the past, a number of our interns have graduated and started very promising careers in a number of fields."



Leah Hadgis takes a break from researching for this week's edition on 'News You Can Use.'

Meet Gregory Stowe

Gregory Stowe was recently promoted to State and Federal Communications Compliance Assistant, working with the growing team of ALERTS specialists. In his new role, Greg will assist the Compliance Associates in contacting lobbyists engaged in lobbying activity, and prepare and follow-up with reports. He has been the company for a year.

Best wishes to Greg on his continuing success at State and Federal Communications.



What to do at the NCSL



At the NCSL Annual Meeting, held August 5-9, 2007, in Boston, Massachusetts, there are a bevy of speakers and professional programs offered at <http://www.ncsl.org/annualmeeting/index.htm> Aside from those activities, you might want to go and see the sights. After all, Boston is one of the most historic cities in the U.S. Here's a few of our best ideas and also some from the company that offers the "Go Boston Card," available at www.gobostoncard.com/whatis.html for a one low price admission to more than 70 of New England's attractions, activities, and tours.

JFK Library and Museum -- The spectacular Kennedy Library is a must-see for anyone interested in the Kennedys. (Photograph by Abbie Rowe, National Park Service, in the John F. Kennedy Presidential Library and Museum, Boston.)

Beantown Trolley -- The big red trolley tour takes you all over town, with 19 stops near more than 100 top sites.

Museum of Fine Arts -- Housing amazing works of European masters, as well as American heritage and contemporary art. (Free general admission).

Faneuil Hall Marketplace -- One of America's most famous shopping and dining experiences, a must see for local entertainment.

Mass Bay Lines Whale Watch -- An unforgettable day with the amazing humpback whales, operated by Massachusetts Bay Lines.

ALERTS Client Profile

State and Federal Communications welcomes another new ALERTS client... Symantec Corp., is the global leader in information security and availability, helping customers secure and manage their data. This year the company marks its 25th anniversary since in founding in 1982 by a team of visionary computer scientists. Through the years, as technology and business evolved, so did Symantec. Today the company employs more than 17,500 people around the world in 40 facilities with headquarters in Cupertino, California. According to the company's website on public policy, "Symantec participates in shaping public policy around issues that affect its

Fenway Park Tour -- Soak up the rich history; hear the echoes of the past, sit atop the fabled Green Monster and stroll the grounds of Fenway Park

Boston Harbor Constitution Cruise -- History and stories as you tour the waterfront.

New England Aquarium -- More than 70 exhibits with aquatic animals from around the globe transport you to a world of water.

Freedom Trail -- A walking tour into history with costumed historic characters who lead visitors along the red brick line of Boston's Freedom Trail.

Franklin Park Zoo -- Founded in 1911, it is now the home to more than 220 species of animals.

Paul Revere House -- Visit the small wooden home from which silversmith Paul Revere departed for his legendary ride into history.

Samuel Adams Brewery -- How many opportunities do you get to learn about history and drink beer at the same time? Old South Meeting House Museum -- Stand on the spot where the Colonists launched the Boston Tea Party and spurred the American Revolution.

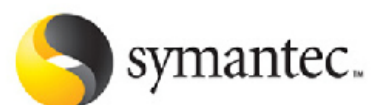
Plimoth Plantation -- [Yes, it IS spelled Plimoth.] This living history museum and recreated ship Mayflower II give you a glimpse into the life of New England's first European settlers.

Old North Church -- View the steeple where two lanterns were hung, prompting Paul Revere's famous ride and the start of the Revolution.

Salem Witch Museum -- Stage sets with life-size figures, lighting, and narration bring to life the hysteria of Salem's 1692 witch trials.

So enjoy all that Boston and the surrounding areas have to offer this summer and be sure to take a few minutes to visit State and Federal Communications at the NCSL Exhibitor's Hall August 6-8, 2007.

industry, business, products, and customers. We partner with business and trade organizations and work with local, regional, and national lawmakers and government agencies to influence policy and legislation. These activities allow Symantec to better accomplish its mission to protect the integrity and unimpeded flow of the world's information. The public-policy issues we face are broad and cover many aspects of consumer privacy, cybersecurity, and intellectual property protection." We are pleased to welcome Symantec to our roster of ALERTS clients.



PAC Certificate Program

Five members of the State and Federal Communications team completed their training to receive the "Fundamentals of Federal Campaign Finance Law" certification offered through the Public Affairs Council. This program provided an understanding and overview of federal campaign finance law and how it works in the United States including dos & don'ts of campaign finance activities for corporations and associations, and fundamentals of political action committees (PAC) regulations. Campaign finance laws oversee contribution limits, fundraising methods, and disclosure of who has contributed, how much, to which candidate.

Congratulations to everyone on your continuing education.



Recent State and Federal Communications staff receiving the PAC Certificates on Campaign Finance Law include (l to r seated), Elizabeth Bartz, Nola Werren, Esq., Jaime Paulen, Esq., and (l to r standing), John Cozine, Esq., and Brian Cassidy, Esq

Tricky State Lobbying & Gift Laws

At the end of last month (June), the Public Affairs Council hosted a teleconference on State Lobbying and Gift Laws in which Elizabeth Bartz, President and CEO, State and Federal Communications was the featured speaker. Her theme, "Tricky State Lobbying and Gift Laws," covered numerous topics within the 50 different states. The teleconference garnered more than 100 participants and was a wonderful success for all parties. Elizabeth provided a number of examples of various situations that were "tricky" and required careful planning. Participants were also able to ask questions regarding their specific needs. Thank you to all who participated and we look forward to participating again in the future.

Landmarks Series – The U.S. Supreme Court Building,

... a symbol of Democracy This article is the 2nd in series that focuses on historical and/or significant landmarks.

The following information was gleaned from several sources: the website architecture.about.com, and from a booklet prepared by the Supreme Court of the United States, published with funding from the Supreme Court Historical Society.

"The Republic endures and this is the symbol of its faith." These words, spoken by Chief Justice Charles Evans Hughes in laying the cornerstone for the Supreme Court Building on October 13, 1932, expressed the importance of the Supreme Court in the American system. Yet surprisingly, despite its role as a co-equal branch of government, the Supreme Court was not provided with a building of its own until 1935, the 146th year of its existence. A brief history

Historically, the very first bill introduced in the United States Senate was the Judiciary Act of 1789. It divided the country in 13 judicial districts, which were further organized into the Eastern, Middle, and Southern "circuits." The 1789 Act called for the Supreme Court to consist of a Chief Justice and only five Associate Justices, and for the Court to meet, or "sit" in the Nation's Capital. The Court first met in the Merchants Exchange Building in New York City in 1790, then the nation's capital. The Court then moved later that year to Philadelphia when the national capital was moved there. In 1800, when the federal government moved to its permanent capital in Washington, the Court



again followed suit. Congress lent the Court space in the new Capitol Building for a time. After the British set fire to the Capitol during the War of 1812, the Court convened for a short period in a private house. Following this, the Court returned to the Capitol for the next 100+ years. A new, final home

It was finally in 1929 that Chief Justice William Howard Taft, who had been President of the United States (1909-13), persuaded Congress to authorize construction of a permanent home for the Court. Architect Cass Gilbert was charged by Chief Justice Taft to design "a building of dignity and importance suitable for its use as the permanent home of the Supreme Court of the United States." Gilbert was most noted for his work at the time in pioneering the skyscraper. The US Supreme Court Building was the last project of his career, and was truly a team effort by members of his firm. He died in 1934, one year prior to its completion. The neoclassical style of the building was chosen to reflect democratic ideals and to harmonize with nearby congressional buildings. The building was designed on a scale in keeping with the importance and dignity of the Court and the Judiciary as a coequal, independent branch of the United States Govern-

ment, and as a symbol of "the national ideal of justice in the highest sphere of activity." The building's sculpted pediments tell allegories of justice and mercy. At the West Side, the main entrance faces the Capitol building. Sixteen marble Corinthian columns support the pediment. Along the architrave (the molding just above the columns) are the engraved words, "Equal Justice Under Law." Here, Robert Aitken carved sculptures to represent Liberty seated in a throne and guarded by figures whom represent Order and Authority. At the East Side, an area that tourists don't often see, the words "Justice the Guardian of Liberty" are carved in the architrave above the columns. The sculptures in the pediment, carved by Herman A. McNeil represent three great lawmakers: Moses, Confucius, and Solon. These figures have stirred controversy because the central figures were drawn from religious traditions, however McNeil countered that "Law as an element of civilization was normally and naturally derived or inherited in this country from former civilizations. The 'Eastern Pediment' of the Supreme Court Building suggests therefore the treatment of such fundamental laws and precepts as are derived from the East." Construction was begun in 1932 and completed in 1935. The building rises four stories above the terrace or ground floor. Marble was chosen as the principal material to be used.

It is interesting to note that the Court Building cost less than the \$9.74 million that Congress had authorized for its construction. And, all furnishings were also procured within this cost, even though planners had expected the project would require additional appropriations. Upon completion of the project, \$94,000 was returned to the Treasury!