

State &
Federal
COMMUNICATIONS

COMPLIANCE NOW

E-News from State and Federal Communications, Inc.

September 2007

The Close Scrutiny of the Lobbying Industry



I am cognizant of the ever-increasing scrutiny of the lobbying industry. Just a few weeks ago, I read front-page coverage on a financial website that one of our clients had paid a lobbyist \$120,000 for work performed during the first half of 2007.

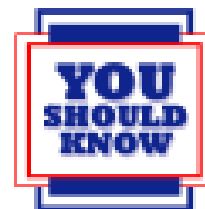
Why this would warrant this type of coverage escapes me. There was nothing unusual here; nothing wrong; it was just business as usual as disclosed by the Senate's public records office. Yet I see an increasing number of articles calling attention to lobbying work done and the amount of financing behind the effort.

It is increasingly important to stay compliant in all lobbying, procurement and political contributions. The example cited here was all in order, with proper paperwork filed with all the right regulatory authorities. Imagine the consequences when inappropriate actions are taken (whether intended or not), or when reporting is not done on time, or at all. The negative media coverage and possible punitive damages would add up.

As part of our effort to keep you up-to-date with compliance regulations, all subscribers to our Guides, and all ALERTS clients will receive this month our new Guidebook for the 2nd half of 2007.

In addition, we are working now on sending all our subscribers a printed listing of the changes that have been made to the Guides recently. It has been an active year for legislation surrounding state lobbying, political contributions and procurement lobbying, so read through these when they arrive. We want you to see the changes so you can act accordingly.

New Jersey Business Entity



On September 28, 2007, a new disclosure filing is due with the New Jersey Election Law Enforcement Commission [NJELEC]. Known as the "Business Entity Annual Statement", this report must be filed if:

(1) Your organization received \$50,000 or more in the aggregate during calendar year 2006 through government contracts with the state of New Jersey, including any political subdivision thereof [counties, cities, school districts].

(2) If such contracts exist, then your

organization must also disclose political contributions made during calendar year 2006 in excess of \$300 in the aggregate per election made to a candidate committee, joint candidates committee, or political committee; or per calendar year made to a political party committee, legislative leadership committee, or continuing political committee. The source of such political contributions include:

- Contributions written from the business checking account [i.e., direct corporate contributions];
- PAC contributions;
- Contributions made directly from the personal funds of your organization's owners, principals, partners, officers, directors, and their spouses; and
- Contributions by subsidiaries, directly or indirectly, controlled by your organization.

(3) Even if no such political contributions were made in 2006, the report must still be filed if the government contracts described above exist.

State and Federal Communications - NCSL Foundation Gold Sponsor

State and Federal Communications has become a Gold-Level Sponsor for the NCSL Foundation for State Legislatures. Highlights of gold sponsorship include interaction with NCSL leadership and legislative leaders through regular forums, the Leadership Institute and the Leader's Advisory subcommittee, as well as a number of other benefits. NCSL Foundation President Ms. Leticia Van de Putte, State Senator, Texas, says, "The NCSL Foundation is pleased that State and Federal Communications has joined the extremely important work of the NCSL Foundation. We appreciate Elizabeth's involvement and support and look forward to working together on topics of mutual interest."

ALERTS Client Profile – Boehringer Ingelheim Corporation

Boehringer Ingelheim Corporation, an ALERTS client of State and Federal Communications, is based in Ridgefield, Connecticut. The company serves as the U.S. headquarters to seven subsidiaries, and is a member of the Boehringer Ingelheim worldwide group, making it one of the world's 20 leading pharmaceutical companies.

This privately-owned company has nearly 37,500 employees and 143 affiliated companies throughout the world. Boehringer Ingelheim is research-driven and dedicated to the discovery, development, manufacture and marketing of innovative human and animal health care products.

We are pleased to provide compliance counsel, registration, reporting, and other services as needed for Boehringer Ingelheim, a company with a long-standing commitment to corporate compliance.

NCSL Wrap up ...

The NCSL Annual Meeting this year in Boston was a fun-filled event, loaded with educational opportunities, seminars and meetings.

During the event from August 5-9, we had hundreds of visitors come to the State and Federal Communications exhibit to learn more about our services. Many of these were first time contacts who walked away with a clearer understanding of our vision to provide compliance services related to campaign finance and political contributions, and state and procurement lobbying.

House Speaker Nancy Pelosi delivered the keynote address based on the theme that NCSL leads the way to creating 'Strong States, Strong Nation, Revolutionary Ideas.' Other highlights included a wonderful after-hours affair at the home of the Boston Red Sox called "Fenway Park' Night." There was also a State Govern-



Katherine Whitehead [SGAC], Elizabeth Bartz, Beth Loudy [SGAC], Erin Hussey [SGAC]

Meet Jamie Paulen, Esq.

Jamie Paulen, Esq., State Researcher, is responsible for researching and monitoring applicable compliance laws and helping to maintain this information on the State and Federal Communications website. When asked what she likes most about her role at the company, Jamie says, "I enjoy digging into the most minute details of the law to create a high quality product that I am proud to present to the subscribers of our Executive Source Guides."



John Gibson [BIO], Elizabeth Bartz, Nola Werren, and Katrina Iserman [Wyeth]

ment Affairs Council appreciation dinner one evening. On the final night, a lovely Boston Pops Concert rounded out the week. Make sure to put next year's dates on your calendar now:

New Orleans, Louisiana, July 20 - July 27, 2008.



Nola Werren, Brian S. Rosen [Purdue Pharma], Linda Barefoot [Purdue Pharma], and Alan Must [Purdue Pharma]

A Special Mention

Greg Stowe from our team of ALERTS Compliance Assistants, took a well-deserved break to tie the wedding knot to Alison Burns.

**Congratulations
Greg and Alison!**



Who You Gonna Call?

Do you sometimes have a question on how to navigate the State and Federal Communications website when looking to answer your compliance questions? Well we don't have any Ghostbusters on staff, but we do have top-notch researchers who can help you find the information you need. If you are a subscriber to any of our Executive Source Guides, or an ALERTS client, you can call or email our research team with any questions you may have. Call toll-free at 1-888-4-LAW-NOW to speak with, or send an email to



(L to R) John Cozine, Esq., Research Mgr, jcozine@stateandfed.com, or Brian Cassidy, Esq., State Researcher, bcassidy@stateandfed.com, or Jamie Paulen, Esq., State Researcher, jpaulen@stateandfed.com, for answers on navigating the website and getting the most from your on-line subscription.

See Us in Person

Plan to say hello at future professional development events where State and Federal Communications will be attending and/or speaking regarding compliance issues.

October 1-3
PhRMA State Government
Relations Meeting
Scottsdale, Arizona

October 4-5
Practising Law Institute Program on
Lobbying and Campaign Finance
Washington, DC

October 13
Kent State vs.
Ohio State Football Game
Columbus, Ohio



Landmarks Series – Statue of Liberty, Our Symbol of Freedom

This article is one of an ongoing series that focuses on historical and/or significant landmarks. Information gleaned from [www. nps.gov](http://www.nps.gov) and other sources.

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Everyone knows the Statue of Liberty, located on Liberty Island in the Upper New York Bay area. It is one of the most visited tourist sites in all the United States. This fine lady is 121 years old. She was a gift from the people of France to the people of the United States in recognition of the friendship established during the American Revolution. Through the years, the Statue of Liberty has grown to also symbolize freedom and democracy.

Sculptor Frederic Auguste Bartholdi was commissioned to design a sculpture to commemorate the centennial of the American Declaration of Independence. The Statue was to be a joint effort between America and France -- Americans building the pedestal while the French would be responsible for the Statue and its assembly.

Bartholdi enlisted the aid of engineer Alexandre Gustave Eiffel (designer of the Eiffel Tower) to address structural issues such as designing the massive iron pylon and secondary skeletal framework



that allows the Statue's copper skin to move independently yet stand upright. The 'French' part, the Statue, was completed in France in 1884. Construction funds in part were financed through Bartholdi obtaining a U.S. patent on the Statue's design, and then selling miniature versions. For transit across the Atlantic Ocean on the frigate "Isere," the Statue was reduced to 350 individual pieces and packed in 214 crates. It arrived in New York Harbor in June 1885. Meanwhile, financing for the 'American' part, the pedestal, was aided by Joseph Pulitzer (noted for the Pulitzer Prize), who opened up the editorial pages of his newspaper to motivate Americans to donate. Pedestal construction was finished in 1886 and the Statue was re-assembled on her new pedestal in four months time. On October 28, 1886, the dedication of the Statue of Liberty took place in front of thousands of spectators. Responsibility for the Statue of Liberty and her island has been changed through the years, moving between governmental entities. Today, the National Park Service is responsible for the Statue and the surrounding islands.

In 1982, President Ronald Reagan appointed Lee Iacocca to head up a private sector effort to restore the Statue of Liberty. Fundraising began for the \$87 million restoration under a public/

private partnership between the National Park Service and the Statue of Liberty-Ellis Island Foundation, Inc. To date, this has been the most successful such partnership in American history. On July 5, 1986 the newly restored Statue re-opened to the public during Liberty Weekend, which celebrated her centennial.

Here are some key facts:

- Height from the Statue's base to torch: 151 feet, 1 inch
- Length of her hand: 16 feet 5 inches
- 25 windows in the crown symbolize gemstones found on the earth and the heaven's rays shining over the world.
- 7 rays of the Statue's crown represent the seven seas and continents of the world.
- The tablet which the Statue holds in her left hand reads (in Roman numerals) "July 4th, 1776."
- The total weight of copper in the Statue is 62,000 pounds (31 tons).
- The copper sheeting of the Statue is 3/32 of an inch thick.
- During the 1886 inauguration, President Grover Cleveland accepted the Statue on behalf of the United States and said in part: "We will not forget that Liberty has here made her home; nor shall her chosen altar be neglected."
- Visitors to the Statue of Liberty can view an exhibit on the second floor in



the pedestal tracing the history and symbolism of the Statue through museum objects, photographs, prints, videos and oral histories. There are also full-scale replicas of the Statue's face and foot on display. You can also see the original 1886 torch and the much altered flame in the lobby. There is a copy of the Statue of Liberty on the River Seine in Paris, France, which was given to the city in 1889 (see photo).